

## AUDIT & GOVERNANCE WORK PROGRAMME FOR 2021/22

Committee Function and Report Subject		Frequency	Last Covered	July 2021	Sept 2021	Nov 2021	March 2022
<b>OVERALL PURPOSE AND ACCOUNTABILITY</b>							
Review of Work Programme and training plan		Quarterly	2020-21	YES	YES	YES	YES, and Annual Report for 2020/21
Review of the Functions of the Committee		3 yearly	2019-20				
<b>GOVERNANCE, RISK AND CONTROL</b>							
Corporate Governance & AGS	Local Code of Corporate Governance	As needed	2016-17				
	<b>Annual Governance Statement</b>	Annual	2020-21	YES			
Risk Management	Policy	As needed	2016-17				
	<b>Risk Management Monitoring Reports</b>	6 monthly	2019-20		YES		YES
	Business Continuity	3 yearly	2018-19				
	Specific Risk Management topics	As needed	2019-20 (cyber security risks)				
Value for Money	Specific VFM studies	As needed	None				
Counter Fraud	Counter Fraud Policy and Strategy	3 yearly	2016-17				
	<b>Anti-Bribery Policy</b>	As needed	2011-12			YES	
	Sanctions and Redress Policy	As needed	2016-17				
	<b>Counter Fraud Annual Report</b>	Annually	2020-21	YES			
Partnerships	<b>Partnership Governance Report</b>	As needed	2020-21		YES		
<b>AUDIT</b>							
Internal Audit	Internal Audit Strategy	3 yearly	2018-19				
	<b>Internal Audit Annual Plan</b>	Annual	2020-21	YES (delayed from March)			YES
	<b>Internal Audit Progress Report</b>	Quarterly	2020-21	YES	YES	YES	YES

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	<b>Head of Audit's Annual Opinion</b>	Annual	2020-21	YES			
External Audit	Arrangements for Appointment of External Auditors	As needed	2018-19				
	<b>Annual Plan and Fee</b>	Annual	2020-21	YES			YES
	<b>Annual Audit Letter / Annual report</b>	Annual	2020-21		YES		
	<b>Annual Certification Report</b>	Annual	2020-21				YES
	<b>Specific reports from inspection agencies</b>	As needed	2018-19 (RIPA)		YES		
<b>FINANCIAL REPORTING</b>							
<b>Statement of Accounts</b>		Annual	2020-21	YES			
<b>External Audit – Audit Results Report</b>		Annual	2020-21	YES			
<b>WIDER FUNCTIONS OF THE COMMITTEE</b>							
Standards and Ethics	Review of Code of Conduct for Members	As needed	2015-16				
	Review of member / officer protocol	As needed	2008-09				
	<b>Annual Ombudsman Reports and Overview of Complaints against members</b>	Annual	2020-21		YES		
	<b>Review of Members Training and Development Programme</b>	Annual (New)	2020-21				YES
Treasury Management	<b>Treasury Management Strategy and Indicators</b>	Annual	2020-21			YES	YES - Policy and indicators
Key Policy Review	<b>Annual Review of the Constitution</b>	Annual	2020-21			YES	
	Review of Financial Regulations	3 yearly	2019-20				
	Review of Procurement and Contract Procedure Rules	3 yearly	2020-21		YES		
	<b>Prevention of the Facilitation of Tax Evasion</b>	As needed	NEW			YES	
Other Matters referred to the Committee	Updates on legal issues	As needed	2017-18				
	Issues referred by the Chief Executive Officer, Directors and Other Council Bodies	As needed	None				
Number of Items				9	8	6	8